

Appendix 附件

1. Monies in the Account 賬戶中的款項

Any cash which are received or held by you on my/our behalf, other than cash received by you in respect of Transaction and which is on-paid for settlement purpose or payable to me/us, shall be credited to one or more segregated accounts, each of which shall be designated as a trust account or client account, established and maintained in Hong Kong with an authorized financial institution or any other person approved by the SFC. Subject to mutual agreement between the parties hereto, no interest is payable.

由 貴公司代本人(等)在香港收取或持有的現金,除了貴公司收取本人(等)的現金作為交易的交收之用或轉付予本人(等)之外,須存入在認可財務機構或監察委員會批准的任何其他人士處所開立的一個或多於一個的獨立賬戶內,而每個該等賬戶均須指定為信託賬戶或客戶賬戶並在香港開立維持。除非雙方另有共同協議外,無須支付此賬戶內產生的利息款項。

2. Compliant Officer 投訴主任

Should you discover any discrepancies or irregularities in your trading accounts, please contact our compliant office Mr. Luk at 25006817.

若貴客戶發現交易賬戶有任何違規及不相符處,請聯絡本公司投訴主任陸先生,電話 25006817。

Client Signature 客戶簽署

Client Name 客戶姓名 _____

Date 日期 _____